

CENPATICO
STATEMENT OF FINANCIAL POSITION
AS OF: June 30, 2013

ASSETS

CURRENT ASSETS

101 Cash (Disclose on Schedule A)	33,363,053
102 Current Investments	0
103 Accounts Receivable (net) (Disclose on Schedule A)	3,263,844
104 Notes Receivable (current portion)	0
105 Prepaid Expenses	166,223
106 Other Current Assets (Disclose on Schedule A)	<u>2,826,468</u>
107 Total Current Assets	<u>39,619,588</u>

NON-CURRENT ASSETS

108 Land	0
109 Building	0
110 Leasehold Improvements	691,331
111 Furniture and Equipment	840,324
112 Vehicles	<u>0</u>
113 Total Property and Equipment	1,531,655
114 Less: Accumulated Depreciation	<u>1,210,711</u>
115 Net Property and Equipment	<u>320,944</u>
116 Notes Receivable (net of current portion)	0
117 Performance Bond (Disclose on Schedule A)	0
118 Long Term Investments	1,500,000
119 Deposits	69,270
120 Other Non-current Assets (Disclose on Schedule A)	<u>0</u>
121 Total Non-current Assets	<u>1,890,214</u>

122 TOTAL ASSETS	<u><u>41,509,802</u></u>
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LIABILITIES AND NET ASSETS/EQUITY

CURRENT LIABILITIES

201 Incurred But Not Reported Claims (Disclose on Sch. A)	3,644,177
202 Reported But Unpaid Claims	259,675
203 Payable to ADHS (Disclose on Schedule A)	3,911,714
204 Payable to Providers	4,926,962
205 Trade Accounts Payable	0
206 Accrued Salaries and Benefits	131,968
207 Long-term Debt (current portion)	0
208 Deferred Revenue (Disclose on Schedule A)	0
209 Risk Pool Payable	0
210 Other Current Liabilities (Disclose on Schedule A)	<u>7,087,731</u>
211 Total Current Liabilities	<u>19,962,226</u>

NON-CURRENT LIABILITIES

212 Long-term debt (net of current portion)	0
213 Loss Contingencies (Disclose on Schedule A)	0
214 Other Noncurrent Liabilities (Disclose on Schedule A)	<u>96,869</u>
215 Total Noncurrent Liabilities	<u>96,869</u>

216 TOTAL LIABILITIES	<u><u>20,059,095</u></u>
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217 NET ASSETS/EQUITY

Unrestricted Net Assets	21,450,707
Restricted Net Assets (Disclose on Schedule A)	<u>0</u>

218 TOTAL LIABILITIES AND NET ASSETS/EQUITY	<u><u>41,509,802</u></u>
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**CENPATICO
STATEMENT OF FINANCIAL POSITION**

AS OF:

June 30, 2013

Schedule A Disclosures

ASSETS:

Cash (Detail of Line 101)

Unrestricted 33,363,053

Restricted 0

Total Cash **33,363,053**

Accounts Receivable (Detail of Line 103)

ADHS

GSA 2

Current Period	Program ID	Category ID	
	CMHS SED Block Grant	Accrued Revenue	17,263
	CMHS SMI Block Grant	Accrued Revenue	7,062
	SAPT Block Grant	Accrued Revenue	372,482
	NTXIX/XXI SMI	Accrued Revenue	185,109
	NTXIX/XXI CRISIS	Accrued Revenue	45,055
	SB1616 HOUSING	Accrued Revenue	24,918

Prior Year

GSA 3

Current Period	CMHS SED Block Grant	Accrued Revenue	36,491
	CMHS SMI Block Grant	Accrued Revenue	6,846
	SAPT Block Grant	Accrued Revenue	393,905
	NTXIX/XXI SMI	Accrued Revenue	283,363
	NTXIX/XXI CRISIS	Accrued Revenue	45,470
	SB1616 HOUSING	Accrued Revenue	31,919

Prior Year

GSA 4

Current Period	CMHS SED Block Grant	Accrued Revenue	21,737
	CMHS SMI Block Grant	Accrued Revenue	17,680
	SAPT Block Grant	Accrued Revenue	351,520
	NTXIX/XXI SMI	Accrued Revenue	378,306
	NTXIX/XXI CRISIS	Accrued Revenue	79,730
	SB1616 HOUSING	Accrued Revenue	43,827
	Other Federal	SPE Grant	7,317

Prior Year

Non-ADHS &/or Unrelated Business

GSA 2

Current Period	Pharmacy Rebate Receivable	17,471
	Provider Receivables	326,636

Prior Year

GSA 3

Current Period	Pharmacy Rebate Receivable	20,763
	Provider Receivables	130,241

Prior Year

GSA 4

Current Period	Pharmacy Rebate Receivable	26,762
	Provider Receivables	391,545

LIABILITIES:

IBNR Claims Estimate (Detail of Line 201)

GSA 2

Current Period 939,717

Prior Year (0)

GSA 3

Current Period 1,096,597

Prior Year 0

GSA 4

Current Period 1,607,862

Prior Year 0

Total IBNR **3,644,177**

Payable to ADHS (Detail of Line 203)

	Program ID	Category ID	
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GSA 2

Current Period	TXIX Child	Profit/Risk Corridor	123,782
	TXIX CMDP	Profit/Risk Corridor	73,590
	TXIX GMH/SA	Profit/Risk Corridor	543,029
	TXXI Child	Profit/Risk Corridor	66,464
	TXXI Adult	Profit/Risk Corridor	4,728

Prior Year

GSA 3

Current Period	TXIX Child	Profit/Risk Corridor	367,847
	TXIX CMDP	Profit/Risk Corridor	231,691
	TXIX SMI	Profit/Risk Corridor	373,438
	TXXI Child	Profit/Risk Corridor	58,078
	TXXI Adult	Profit/Risk Corridor	2,794

Prior Year

GSA 4

Current Period	TXIX Child	Profit/Risk Corridor	573,915
	TXIX CMDP	Profit/Risk Corridor	571,174
	TXIX GMH/SA	Profit/Risk Corridor	920,623
	TXXI Adult	Profit/Risk Corridor	561

Prior Year

Total Payable to ADHS **3,911,714**

Deferred Revenue from: (Detail of Line 208)

	Program ID	Category ID	
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GSA 2

Current Period

Prior Year(s)

GSA 3

Current Period

Prior Year	Pharmacy Rebate Receivable	424
GSA 2		
Allowance for Doubtful Accounts		0
GSA 3		
Allowance for Doubtful Accounts		0
GSA 4		
Allowance for Doubtful Accounts		0
Total Accounts Receivable		<u><u>3,263,844</u></u>

Other Current Assets (Detail of Line 106)

Accrued Interest Income	34,255
Short-Term Deferred Taxes	2,792,213
Due from Affiliates	0
Total Other Current Assets	<u><u>2,826,468</u></u>

Other Non-current Assets (Detail of Line 120)

Long-term Deferred Taxes	(0)
Total Other Noncurrent Assets	<u><u>0</u></u>

PERFORMANCE BOND (Detail of Line 117)

CBH AZ has a Surety Bond with RLI Insurance Company, in the amount of \$13,566,936

Included in Financial Statements? No

Adjustments:

Disclose and describe any adjustments made to previously submitted financial statements, including those that affect the current period financial statements.

Payables to ADHS - Other* Category

Disclose items recorded as "Other" in the category for Payable to ADHS Section

Prior Year

GSA 4

Current Period

Prior Year

Non-ADHS &/or Unrelated Business

GSA 2

Current Period

Prior Year

GSA 3

Current Period

Prior Year

GSA 4

Current Period

Prior Year

Total Deferred Revenue

0

Other Current Liabilities (Detail of Line 210)

Travel	6,500
Occupancy	151,598
Professional Services	67,245
Other Operating Expenses	100
Community Reinvestment	603,556
Use Tax Payable	0
Personal Property Tax Payable	4,470
Income Taxes Payable	5,416,546
Due to Affiliates	837,715
Total Other Current Liabilities	<u><u>7,087,731</u></u>

Loss Contingencies (Detail of Line 213)

GSA 2	
GSA 3	
GSA 4	
Total Loss Contingencies	<u><u>0</u></u>

Other Noncurrent Liabilities (Detail of Line 214)

Accrued Income Tax	47,194
Tenant Improvements	49,675
Total Other Noncurrent Liabilities	<u><u>96,869</u></u>

Restricted Net Assets (Detail of Line 217)

Identify Restricted Net Assets	
Total Restricted Net Assets	<u><u>0</u></u>

Explain ≥10% fluctuation in account from prior quarter (June 30, 2013 compared to March 31, 2013)

- 101 Cash - Decreased \$4.1M due to dividend payments
- 103 Accounts Receivable - Increased \$1.7M due to revenue accrued for NT state and federal funds.
- 105 Prepaid Expenses - Decreased \$25K due to current quarter expensing of prepaid expenses, including performance bond costs and Essential Learning fees.
- 106 Other Current Assets - Decreased \$1.7M due to decrease in Short-Term Deferred Taxes.
- 201 Incurred But Not Reported Claims - Decreased \$862K due to decrease in estimated claims liability outstanding.
- 202 Reported But Unpaid Claims - Increased \$70K due to the timing of claims check runs, i.e. higher claims received but unpaid at the end of June.
- 203 Payable to ADHS - Increased \$3.9M as a result of change in estimated service expenses.
- 204 Other Amounts Payable to Providers - Decreased \$5.3M as a result of change in estimated service expenses.
- 206 Accrued Salaries and Benefits - Increased \$117K due to timing of last payroll in June, resulting in a higher number of accrued salary days.
- 208 Deferred Revenue - Decreased \$12K due to recognition of deferred CMHS SED and SAPT Crisis revenue.

CENPATICO

STATEMENT OF CHANGES IN NET ASSETS / EQUITY

AS OF : June 30, 2013

			Initial Capital	Additional Capital	Net Assets / Retained Earnings	Unrealized Gains (Losses) on Securities	Total
Beginning Balance:	July 1, 2012		6,200,000	(11,000,000)	24,852,641		20,052,641
* Net Surplus / Net Earning for the period ended:		June 30, 2013			5,398,066		5,398,066
Other Comprehensive Income:							
Unrealized Gains (Losses) on Securities							-
Dividends Paid				(4,000,000)			(4,000,000)
** Prior Period Adjustments							-
Ending Balance:	June 30, 2013		<u>6,200,000</u>	<u>(15,000,000)</u>	<u>30,250,707</u>	<u>-</u>	<u>21,450,707</u>

* Net of Dividends Paid

** Disclosure of Prior Period Adjustments

CENPATICO 2
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

June 30, 2013

*DISCLOSE ON SCHEDULE A

		TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXIX ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
REVENUES																								
401	Revenue Under ADHS Contract																							
a	ADHS Revenue	11,834,382	3,079,571	521,494	10,270,980	321,109	13,978,260	315,646	13,246	1,237,430	1,142,425	340,000	24,918	0	274,000	37,126	1,420,388	20,774	0	900		44,832,648		44,832,648
b	ADHS Revenue - Qualifying Incentive Payments																					0	151,615	151,615
402	Specialty & Other Grants*																18,000			159,541		177,541		177,541
403	Client Fees (Co-pays)																					0		0
404	Third Party Recoveries																					0		0
a	Medicare																					0		0
b	Other Insurance																					0		0
405	Interest Income																					0	54,849	54,849
406	Other Behavioral Health Funding Sources - Non ADHS*	10,955			9,398		5,898															26,250		26,250
407	Unrelated Business Revenue*																					0	0	0
408	TOTAL REVENUE	11,845,337	3,079,571	521,494	10,280,378	321,109	13,984,157	315,646	13,246	1,237,430	1,142,425	340,000	24,918	0	274,000	37,126	1,438,388	20,774	0	160,441	0	45,036,439	206,464	45,242,903
EXPENSES																								
Service Expenses:																								
501	Treatment Services																							
a	Counseling																							
1	Counseling, Individual	567,386	207,142	13,666	141,537	6,079	543,126	20,206	2,730	0	5,424	0	0	0	0	0	12,685	0	0	0	0	1,519,980		1,519,980
2	Counseling, Family	362,355	96,289	6,500	9,705	2,783	36,766	18,332	0	0	0	0	0	0	0	0	394	0	0	0	0	533,123		533,123
3	Counseling, Group	136,769	37,429	1,914	126,928	3,520	506,059	2,632	0	0	13,161	0	0	0	0	0	78,456	0	0	0	0	908,868		908,868
b	Assessment Evaluation and Screening	972,618	360,348	51,078	317,180	19,696	841,973	39,280	2,867	0	8,242	0	0	0	8,052	0	23,184	0	0	0	0	2,644,529		2,644,529
c	Other Professional	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
d	Total Treatment Services	2,039,128	761,208	73,158	595,349	32,079	1,929,923	80,450	5,597	0	26,826	0	0	0	8,052	0	114,730	0	0	0	0	5,606,500	0	5,606,500
502	Rehabilitation Services																							
a	Living Skills Training	565,062	136,965	17,310	282,945	5,554	478,565	10,275	0	0	28,874	0	0	0	0	0	40,899	0	0	0	0	1,566,448		1,566,448
b	Cognitive Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Health Promotion	239,068	25,784	11,426	444,134	7,188	105,344	7,001	775	0	94,088	0	0	0	0	0	1,386	0	0	0	0	936,194		936,194
d	Supported Employment Services	38,156	5,014	1,779	321,552	9,530	547,420	2,017	1,399	0	77,132	0	0	0	0	0	35,567	0	0	0	0	1,239,567		1,239,567
e	Total Rehabilitation Services	842,286	167,763	30,516	1,248,631	22,271	1,131,329	19,293	2,175	0	200,084	0	0	0	0	0	77,852	0	0	0	0	3,742,209	0	3,742,209
503	Medical Services																							
a	Medical Services	68	0	0	118,390	2,161	394,574	0	0	0	3,410	0	0	0	0	0	37,396	0	0	0	0	556,000		556,000
b	Medical Management	246,865	29,837	28,381	286,861	14,610	426,082	10,774	0	0	48,209	0	0	0	0	0	8,695	0	0	0	0	1,100,315		1,100,315
c	Laboratory, Radiology & Medical Imaging	2,329	0	593	8,253	240	106,712	0	0	0	30	0	0	0	0	0	54,194	0	0	0	0	172,350		172,350
d	Electro-Convulsive Therapy	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
e	Total Medical Services	249,262	29,837	28,974	413,504	17,011	927,369	10,774	0	0	51,648	0	0	0	0	0	100,285	0	0	0	0	1,828,665	0	1,828,665
504	Support Services																							
a	Case Management	2,644,177	872,056	145,541	1,717,386	87,876	1,676,371	72,356	2,118	0	170,239	0	0	0	82,315	0	32,685	0	0	0	0	7,503,119		7,503,119
b	Personal Care Services	4,931	260	99	77,238	0	455,393	69	0	0	9,554	0	0	0	0	0	68,227	0	0	0	0	615,772		615,772
c	Family Support	423,237	48,190	36,932	2,977	654	4,591	13,972	0	0	31,152	0	0	0	0	0	516	0	0	0	0	562,221		562,221
d	Peer Support	120,795	13,366	1,300	1,188,448	11,609	1,356,132	3,347	0	0	307,541	0	0	0	124,906	0	121,129	0	0	0	0	3,248,574		3,248,574
e	Home Care Training to Home Care Client	102,283	88,635	0	3,992	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	194,910		194,910
f	Unskilled Respite Care	414,674	27,619	3,521	8,321	0	192	397	0	0	28,697	0	0	0	0	0	84	0	0	0	0	483,508		483,508
g	Supported Housing*	0	0	0	0	0	0	0	0	0	40,098	314,500	23,049	0	0	0	0	0	0	0	0	377,647		377,647
h	Flex Fund Services	0	0	0	0	0	0	0	0	0	0	0	0	0	30,050	33,302	11,369	0	0	0	0	74,721		74,721
i	Transportation	1,612,479	405,586	45,056	1,149,462	30,162	1,093,708	37,009	102	0	52,587	0	0	0	0	0	58,301	0	0	0	0	4,484,451		4,484,451
j	Total Support Services	5,322,575	1,455,772	232,485	4,147,824	130,360	4,586,387	127,150	2,220	0	639,776	314,500	23,049	0	237,271	33,302	292,310	0	0	0	0	17,544,923	0	17,544,923
505	Crisis Intervention Services																							
a	Crisis Intervention - Mobile	133,035	35,045	5,772	392,722	12,278	408,745	0	0	779,329	0	0	0	0	0	0	83,122	0	0	0	0	1,850,047		1,850,047
b	Crisis Intervention - Stabilization	263	0	0	1,208	0	2,280	0	0	2,128	0	0	0	0	0	0	0	0	0	0	0	8,315		8,315
c	Crisis Intervention - Telephone	122,390	32,272	5,337	387,874	12,126	369,057	0	0	363,165	0	0	0	0	0	0	0	0	0	0	0	1,292,222		1,292,222
d	Total Crisis Intervention Services	255,688	67,317	11,110	781,604	24,404	780,061	0	0	1,144,623	0	0	0	0	0	0	83,122	0	0	0	0	3,147,928	0	3,147,928
506	Inpatient Services																							
a	Hospital																							
1	Psychiatric (Provider Types 02 & 71)	149,822	54,623	0	148,607	18,398	36,751	0	0	0	0	0	0	0	0	0	0	0	0	0	0	408,203		408,203
2	Detoxification (Provider Types 02 & 71)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
b	Sub acute Facility																							
1	Psychiatric (Provider Types B5 & B6)	50,725	0	0	657,423	35,374	221,588	0	0	0	0	0	0	0	0	0	0	0	0	0	0	965,110		965,110
2	Detoxification (Provider Types B5 & B6)	0	0	0	0	0	45,526	0	0	0	0	0	0	0	0	0	54,676	0	0	0	0	100,203		100,203
c	Residential Treatment Center (RTC)																							
1	Psychiatric - Secure & Non-Secure Provider Types	44,991	3,905	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	48,896		48,896
2	Detoxification - Secure & Non-Secure (Provider Types																							
78,B1,B2,B3)		0	234	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	234		234
d	Inpatient Services, Professional	18,244	4,912	0	95,034	8,009	46,304	0	0	0	0	0	0	0	0	0	0	0	0	0	0	172,503		172,503
e	Total Inpatient Services	263,782	63,676	0	901,064	61,781	350,170	0	0	0	0	0	0	0	0	0	54,676	0	0	0	0	1,695,149	0	1,695,149
507	Residential Services																							
a	Level II Behavioral Health Residential Facilities	304,751	0	13,027	784,509	0	163,740	0	0	0	0	0	0	0	0	0	362,589	0	0	0	0	1,628,615		1,628,615
b	Level III Behavioral Health Residential Facilities	0	0	0	8,315	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	8,315		8,315
c	Room and Board	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	14,236	0	0	0	0	14,236		14,236
d	Total Residential Services	304,751	0	13,027	792,824	0	163,740	0	0	0	0	0	0	0	0	0	376,825	0	0	0	0	1,651,166	0	1,651,166
508	Behavioral Health Day Program																							
a	Supervised Day Program	0	0	274	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	274		274
b	Therapeutic Day Program	0	0	0	880	0	1,388	0	0	0														

CENPATICO 2
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

June 30, 2013

*DISCLOSE ON SCHEDULE A

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
Administrative Expenses:																							
601 Salaries	441,309	98,508	21,234	472,477	14,149	461,968	9,350	369	62,341	57,555	17,129	1,255	0	9,070	1,229	60,491	0	0	0	0	1,728,435		1,728,435
602 Employee Benefits	55,416	12,370	2,686	59,329	1,777	58,010	1,174	46	8,255	7,621	2,268	166	0	1,139	154	7,732	0	0	0	0	218,124		218,124
603 Professional & Outside Services	29,533	6,592	1,421	31,618	947	30,915	626	25	0	0	0	0	0	607	82	2,720	0	0	0	0	105,086		105,086
604 Travel	10,048	2,243	483	10,757	322	10,518	213	8	11,473	10,593	3,152	231	0	206	28	4,579	0	0	0	0	64,855		64,855
605 Occupancy	34,498	7,701	1,660	36,935	1,106	36,113	731	29	10,737	9,913	2,950	216	0	709	96	6,596	0	0	0	0	149,990		149,990
606 Depreciation	8,176	1,825	393	8,753	262	8,558	173	7	0	0	0	0	0	168	23	753	0	0	0	0	29,091		29,091
607 All Other Operating*	221,572	49,459	10,661	237,221	7,104	231,945	4,694	185	0	0	0	0	0	4,554	617	20,404	0	0	0	0	788,416		788,416
608 Subtotal ADHS Administrative Expenses	800,551	178,698	38,519	857,091	25,667	838,027	16,961	669	92,807	85,682	25,500	1,869	0	16,453	2,230	103,274	0	0	0	0	3,083,997	0	3,083,997
620 Interpretive Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
651 Non ADHS and/or Unrelated Admin. Expense*																18,000			10,683		28,683		28,683
652 Subtotal Administrative Expense	800,551	178,698	38,519	857,091	25,667	838,027	16,961	669	92,807	85,682	25,500	1,869	0	16,453	2,230	121,274	0	0	10,683	0	3,112,680	0	3,112,680
701 Unrelated Business Expenses*																					0	212,930	212,930
790 Income Tax Provisions																							
a ADHS Income Tax Provision	325,688	130,720	(33,714)	(238,952)	(32,107)	723,874	16,582	945	-	-	-	-	-	4,326	582	(14,291)	0	-	-	-	883,653	17,882	901,535
b Non ADHS Income Tax Provision	4,003	-	-	3,434	-	2,155	-	-	-	-	-	-	-	-	-	-	-	-	(3,904)	-	5,688	(57,763)	(52,075)
799 Subtotal Income Tax Provision	329,691	130,720	(33,714)	(235,519)	(32,107)	726,029	16,582	945	0	0	0	0	0	4,326	582	(14,291)	0	0	(3,904)	0	889,341	(39,881)	849,460
800 TOTAL EXPENSES	11,272,753	2,852,547	580,047	10,689,409	376,870	12,723,243	286,846	11,606	1,237,430	1,142,425	340,000	24,918	0	266,487	36,114	1,463,207	20,774	0	167,220	0	43,491,895	275,726	43,767,621
801 INC/(DEC) IN NET ASSETS/EQUITY	572,584	227,024	(58,553)	(409,031)	(55,761)	1,260,914	28,800	1,640	0	0	0	0	0	7,513	1,012	(24,819)	0	0	(6,779)	0	1,544,544	(69,262)	1,475,282

*Disclose on Schedule A

CENPATICO 3
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

June 30, 2013

*DISCLOSE ON SCHEDULE A

													SUPPORTED HOUSING for	CMHS SED		CMHS SMI		SAPT						PROGRAM ADMIN & MGMT/GEN		TOTAL
		TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXIXI CHILD	TXIXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	BLOCK GRANT	BLOCK GRANT	BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL				
REVENUES																										
401	Revenue Under ADHS Contract																									
a	ADHS Revenue	9,693,404	5,997,992	285,723	12,647,989	423,082	9,136,352	270,456	6,866	730,919	1,366,166	320,000	31,919	0	536,561	36,248	1,414,826	0	0	300	42,898,803		42,898,803			
b	ADHS Revenue - Qualifying Incentive Payments																						196,648	42,898,803		
402	Specialty & Other Grants*																									
403	Client Fees (Co-pays)																18,000		12,087			30,087		30,087		
404	Third Party Recoveries																									
a	Medicare																					0		0		
b	Other Insurance																					0		0		
405	Interest Income																					0		0		
406	Other Behavioral Health Funding Sources - Non ADHS*	29,289			9,031		8,431															52,650		52,650		
407	Unrelated Business Revenue*																					46,750		46,750		
408	TOTAL REVENUE																									
		9,722,693	5,997,992	285,723	12,657,020	423,082	9,144,783	270,456	6,866	730,919	1,366,166	320,000	31,919	0	536,561	36,248	1,432,826	0	0	12,387	0	42,975,640	249,298	43,224,938		
EXPENSES																										
Service Expenses:																										
501	Treatment Services																									
a	Counseling	468,515	265,345	6,259	267,866	6,745	521,900	24,395	967	0	13,920	0	0	0	102,430	0	26,594	0	0	0	0	1,704,935		1,704,935		
1	Counseling, Individual	458,486	503,376	7,865	6,548	2,646	25,415	11,742	0	0	0	0	0	0	22,565	0	2,561	0	0	0	0	1,041,206		1,041,206		
2	Counseling, Family	230,633	56,394	1,293	118,673	248	329,442	8,777	0	0	16,445	0	0	0	52,053	0	113,216	0	0	0	0	927,174		927,174		
3	Counseling, Group	949,478	547,515	25,143	653,374	52,879	903,739	36,665	2,243	0	2,267	0	0	0	82,341	0	66,728	0	0	0	0	3,322,371		3,322,371		
b	Assessment Evaluation and Screening																					0		0		
c	Other Professional																					0		0		
d	Total Treatment Services	2,107,112	1,372,630	40,560	1,046,462	62,516	1,780,496	81,579	3,211	0	32,631	0	0	0	259,389	0	209,099	0	0	0	0	6,995,686	0	6,995,686		
502	Rehabilitation Services																									
a	Living Skills Training	838,944	296,263	40,806	660,568	14,263	134,439	24,555	0	0	30,670	0	0	0	65,238	0	7,720	0	0	0	0	2,113,467		2,113,467		
b	Cognitive Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0		
c	Health Promotion	148,927	35,011	961	267,294	15,917	74,203	3,668	0	0	83,213	0	0	0	427	0	5,710	0	0	0	0	635,330		635,330		
d	Supported Employment Services	72,721	11,381	1,080	1,081,833	5,095	566,862	2,257	205	0	205	0	0	0	286	0	29,323	0	0							

CENPATICO 3
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

June 30, 2013

*DISCLOSE ON SCHEDULE A

		TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
Administrative Expenses:																								
601	Salaries	371,419	192,120	12,636	500,546	14,301	346,519	7,952	186	36,823	68,826	16,101	1,608	0	17,669	1,194	63,037	0	0	0	0	1,650,937		1,650,937
602	Employee Benefits	46,639	24,125	1,587	62,854	1,796	43,510	999	23	4,876	9,114	2,135	213	0	2,219	150	8,102	0	0	0	0	208,341		208,341
603	Professional & Outside Services	24,856	12,857	846	33,497	957	23,188	532	12					0	1,182	80	2,399	0	0	0	0	100,406		100,406
604	Travel	8,456	4,374	288	11,396	326	7,889	181	4	6,777	12,667	2,967	296	0	402	27	5,819	0	0	0	0	61,870		61,870
605	Occupancy	29,035	15,018	988	39,129	1,118	27,087	622	15	6,342	11,854	2,777	277	0	1,381	93	7,485	0	0	0	0	143,220		143,220
606	Depreciation	6,881	3,559	234	9,273	265	6,419	147	3					0	327	22	664	0	0	0	0	27,796		27,796
607	All Other Operating*	186,482	96,459	6,344	251,314	7,180	173,969	3,993	93					0	8,871	599	18,002	0	0	0	0	753,307		753,307
608	Subtotal ADHS Administrative Expenses	673,768	348,512	22,922	908,009	25,942	628,580	14,426	337	54,819	102,461	23,980	2,394	0	32,053	2,165	105,507	0	0	0	0	2,945,877	0	2,945,877
620	Interpretive Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
651	Non ADHS and/or Unrelated Admin. Expense*																18,000			805		18,805		18,805
652	Subtotal Administrative Expense	673,768	348,512	22,922	908,009	25,942	628,580	14,426	337	54,819	102,461	23,980	2,394	0	32,053	2,165	123,507	0	0	805	0	2,964,682	0	2,964,682
701	Unrelated Business Expenses*																				0	204,945		204,945
790	Income Tax Provisions																							
a	ADHS Income Tax Provision	254,225	197,450	(29,746)	295,196	2,772	150,045	14,402	534	(0)	-	-	-	-	8,476	573	(6,170)	-	-	-	-	887,757	35,907	923,664
b	Non ADHS Income Tax Provision	10,702	-	-	3,300	-	3,081	-	-	-	-	-	-	-	-	-	-	-	-	(294)	-	16,788	(55,649)	(38,861)
799	Subtotal Income Tax Provision	264,927	197,450	(29,746)	298,496	2,772	153,126	14,402	534	0	0	0	0	0	8,476	573	(6,170)	0	0	(294)	0	904,545	(19,742)	884,803
800	TOTAL EXPENSES	9,262,587	5,655,075	337,383	12,138,614	418,268	8,878,846	245,444	5,938	730,919	1,366,166	320,000	31,919	0	521,840	35,252	1,443,542	0	0	12,898	0	41,404,696	283,583	41,688,279
801	INC/(DEC) IN NET ASSETS/EQUITY	460,106	342,917	(51,660)	518,406	4,814	265,937	25,012	928	0	0	0	0	0	14,721	996	(10,716)	0	0	(511)	0	1,570,944	(34,285)	1,536,659

*Disclose on Schedule A

CENPATICO 4
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:

June 30, 2013

*DISCLOSE ON SCHEDULE A

		TXIX CHILD	TXIX CMPD	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMHSA	TXIXI CHILD	TXIXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
REVENUES																								
401	Revenue Under ADHS Contract																							
a	ADHS Revenue	16,350,454	5,796,366	1,174,880	14,560,923	1,095,928	17,475,250	470,305	17,155	1,102,938	1,748,416	240,000	43,827	0	455,573	50,790	1,543,033	32,133	0	4,200	0	62,162,172		62,162,172
b	ADHS Revenue - Qualifying Incentive Payments																					0	232,914	232,914
402	Specialty & Other Grants*																24,000		41,405	188,550		253,955		253,955
403	Client Fees (Co-pays)																					0		0
404	Third Party Recoveries																					0		0
a	Medicare																					0		0
b	Other Insurance																					0		0
405	Interest Income																					0	77,197	77,197
406	Other Behavioral Health Funding Sources - Non ADHS*	16,679			17,786		10,886															45,350	295,492	340,842
407	Unrelated Business Revenue*																					0	13	13
408	TOTAL REVENUE	16,367,133	5,796,366	1,174,880	14,578,709	1,095,928	17,486,135	470,305	17,155	1,102,938	1,748,416	240,000	43,827	0	455,573	50,790	1,567,033	32,133	41,405	192,750	0	62,461,477	605,616	63,067,093
EXPENSES																								
Service Expenses:																								
501	Treatment Services																							
a	Counseling																							
1	Counseling, Individual	1,021,146	359,271	34,901	356,594	48,003	1,347,114	40,182	953	0	21,928	0	0	0	109,014	0	16,769	0	0	0	0	3,355,874		3,355,874
2	Counseling, Family	942,404	263,282	38,693	47,600	13,852	228,664	22,994	0	0	1,754	0	0	0	17,826	0	1,805	0	0	0	0	1,578,872		1,578,872
3	Counseling, Group	288,855	83,114	14,051	127,389	19,172	797,159	6,569	633	0	19,614	0	0	0	25,753	0	67,931	0	0	0	0	1,450,240		1,450,240
b	Assessment Evaluation and Screening	1,593,835	552,533	106,387	437,392	66,799	1,192,148	52,890	464	0	47,900	0	0	0	8,306	0	22,319	0	0	0	0	4,080,694		4,080,694
c	Other Professional	0	0	0	0	0	0	0	0	0	0	0	0	0	3,111	8,971	376	0	0	0	0	12,458		12,458
d	Total Treatment Services	3,846,239	1,257,920	194,031	968,975	147,826	3,565,086	122,635	2,050	0	51,603	0	0	0	203,604	8,971	109,199	0	0	0	0	10,478,138	0	10,478,138
502	Rehabilitation Services																							
a	Living Skills Training	1,103,249	449,180	70,601	661,116	57,354	124,956	40,291	6	0	43,797	0	0	0	46,877	0	1,361	0	0	0	0	2,598,787		2,598,787
b	Cognitive Rehabilitation	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0
c	Health Promotion	109,408	24,591	4,676	368,788	30,660	81,696	2,981	13	0	85,541	0	0	0	2,050	0	321	0	0	0	0	710,726		710,726
d	Supported Employment Services	59,334	13,022	851	463,883	27,607	496,388	649	446	0	53,106	0	0	0	7,783	0	11,043	0	0	0	0	1,134,112		1,134,112
e	Total Rehabilitation Services	1,271,990	486,793	76,127	1,493,787	115,621	703,040	43,922	465	0	182,444	0	0	0	56,711	0	12,725	0	0	0	0	4,443,625	0	4,443,625
503	Medical Services																							
a	Medication Services	0	0	0	152,158	332	48,366	0	0	0	8,519	0	0	0	0	0	0	0	0	0	0	209,375		209,375
b	Medical Management	476,301	73,512	49,600	461,322	69,732	708,097	16,686	0	0	116,041	0	0	0	0	6,132	0	0	0	0	0	1,977,421		1,977,421
c	Laboratory, Radiology & Medical Imaging	8,528	806	732	8,193	721	20,826	361	0	0	35	0	0	0	0	858	0	0	0	0	0	41,059		41,059
d	Electro-Convulsive Therapy	0	0	0	3,963	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	3,963		3,963
e	Total Medical Services	484,829	74,318	50,332	625,636	70,784	777,289	17,046	0	0	124,594	0	0	0	0	6,990	0	0	0	0	0	2,231,818	0	2,231,818
504	Support Services																							
a	Case Management	2,872,775	1,211,309	209,950	1,979,599	175,920	2,095,393	90,638	486	0	319,219	0	0	0	92,210	0	49,503	0	0	0	0	9,097,003		9,097,003
b	Personal Care Services	17,199	2,006	196	610,871	7,886	757,259	838	0	0	29,390	0	0	0	1,031	0	73,834	0	0	0	0	1,500,510		1,500,510
c	Family Support	762,119	175,165	120,000	6,379	1,180	17,548	12,920	0	0	31,606	0	0	0	2,702	0	84	0	0	0	0	1,129,703		1,129,703
d	Peer Support	60,425	3,623	2,850	1,559,686	51,546	1,588,175	2,073	0	0	394,965	0	0	0	0	0	134,640	0	0	0	0	3,797,983		3,797,983
e	Home Care Training to Home Care Client	160,819	290,976	0	126,399	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	578,194		578,194
f	Unskilled Respite Care	200,296	71,078	22,661	57,841	0	11,296	0	0	0	44,469	0	0	0	1,036	0	39	0	0	0	0	408,714		408,714
g	Supported Housing*	0	0	0	0	0	0	0	0	0	89,703	222,000	40,540	0	0	0	0	0	0	0	0	352,243		352,243
h	Flex Fund Services	0	0	0	0	0	0	0	0	0	0	0	0	0	47,093	36,588	13,740	0	0	0	0	97,421		97,421
i	Transportation	896,878	235,758	63,156	1,220,794	65,923	852,075	25,093	0	0	77,621	0	0	0	0	16,464	0	0	0	0	0	3,453,763		3,453,763
j	Total Support Services	4,970,510	1,989,915	418,813	5,561,570	302,456	5,321,747	131,561	486	0	986,972	222,000	40,540	0	144,072	36,588	288,304	0	0	0	0	20,415,534	0	20,415,534
505	Crisis Intervention Services																							
a	Crisis Intervention - Mobile	168,334	61,822	11,740	279,238	20,858	270,276	352	0	0	370,326	0	0	0	0	0	100,902	0	0	0	0	1,283,848		1,283,848
b	Crisis Intervention - Stabilization	372	43	0	10,347	0	30,147	480	0	0	28,718	0	0	0	0	0	0	0	0	0	0	70,109		70,109
c	Crisis Intervention - Telephone	143,345	53,931	9,951	395,040	29,733	376,999	5,014	5,216	621,173	0	0	0	0	0	0	28,850	0	0	0	0	1,670,253		1,670,253
d	Total Crisis Intervention Services	312,050	115,797	21,691	684,626	50,591	677,423	5,847	5,216	1,020,218	0	0	0	0	0	0	130,752	0	0	0	0	3,024,210	0	3,024,210
506	Inpatient Services																							
a	Hospital																							
1	Psychiatric (Provider Types 02 & 71)	545,983	102,877	11,489	376,664	2,574	377,254	46,288	0	0	0	0	0	0	0	0	0	0	0	0	0	1,463,130		1,463,130
2	Detoxification (Provider Types 02 & 71)	1,758	0	0	312	0	5,028	0	0	0	0	0	0	0	0	0	0							

CENPATICO 4
STATEMENT OF ACTIVITIES
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*DISCLOSE ON SCHEDULE A

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL	
Administrative Expenses:																								
601 Salaries	614,927	181,905	40,265	624,614	35,513	618,279	15,115	298	55,566	88,084	12,091	2,208	0	14,708	1,654	66,834	423	0	0	0	2,372,486		2,372,486	
602 Employee Benefits	77,217	22,842	5,056	78,433	4,459	77,638	1,898	37	7,358	11,664	1,601	292	0	1,847	208	8,562	53	0	0	0	299,166		299,166	
603 Professional & Outside Services	41,151	12,173	2,695	41,799	2,377	41,376	1,012	20					0	984	111	2,820	28	0	0	0	146,546		146,546	
604 Travel	14,001	4,142	917	14,221	809	14,077	344	7	10,226	16,211	2,225	406	0	335	38	5,503	10	0	0	0	83,471		83,471	
605 Occupancy	48,070	14,220	3,148	48,827	2,776	48,332	1,182	23	9,570	15,171	2,082	380	0	1,150	129	7,547	33	0	0	0	202,642		202,642	
606 Depreciation	11,392	3,370	746	11,571	658	11,454	280	6					0	272	31	781	7	0	0	0	40,567		40,567	
607 All Other Operations*	308,742	91,331	20,216	313,605	17,831	310,425	7,589	150					0	7,384	831	21,160	212	0	0	0	1,099,477		1,099,477	
608 Subtotal ADHS Administrative Expenses	1,115,501	329,983	73,043	1,133,072	64,423	1,121,581	27,420	541	82,720	131,130	18,000	3,287	0	26,680	3,001	113,208	767	0	0	0	4,244,355	0	4,244,355	
620 Interpretive Services	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0		0	
651 Non ADHS and/or Unrelated Admin. Expense*																24,000		2,414	12,421		38,835	190,996	229,831	
652 Subtotal Administrative Expense	1,115,501	329,983	73,043	1,133,072	64,423	1,121,581	27,420	541	82,720	131,130	18,000	3,287	0	26,680	3,001	137,208	767	2,414	12,421	0	4,283,190	190,996	4,474,186	
701 Unrelated Business Expenses*																					0	299,625	299,625	
790 Income Tax Provisions																								
a ADHS Income Tax Provision	411,032	238,508	(2,545)	176,234	19,572	526,025	9,737	3,068	-	(0)	-	-	-	8,727	815	(17,620)	0	-	1,096	-	1,374,648	29,468	1,404,116	
b Non ADHS Income Tax Provision	6,095	-	-	6,499	-	3,978	-	-	-	-	-	-	-	-	-	-	-	858	(4,539)	-	12,890	(43,088)	(30,198)	
799 Subtotal Income Tax Provision	417,127	238,508	(2,545)	182,733	19,572	530,003	9,737	3,068	0	0	0	0	0	8,727	815	(17,620)	0		858	(3,442)	0	1,387,538	(13,619)	1,373,919
800 TOTAL EXPENSES	15,642,698	5,382,144	1,179,300	14,261,352	1,061,938	16,565,666	453,395	11,826	1,102,938	1,748,416	240,000	43,827	0	440,417	49,375	1,597,635	32,133	39,915	198,729	0	60,051,699	629,269	60,680,968	
801 INC/(DEC) IN NET ASSETS/EQUITY	724,435	414,222	(4,420)	317,357	33,990	920,469	16,910	5,329	0	0	0	0	0	15,156	1,415	(30,602)	0	1,490	(6,979)	0	2,409,778	(23,653)	2,386,125	

*Disclose on Schedule A

CENPATICO 2
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

June 30, 2013

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXIX CHILD	TXIX ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	MGMT/GEN	TOTAL																						
DISCLOSURE OF NTXIX/XXI OTHER AND OTHER FEDERAL ADHS REVENUE Itemization of Items Reported In Other Column CMHS Coaching & Training																	20,774					20,774		20,774																					
Total - NTXIX/XXI OTHER AND OTHER FEDERAL Column												0					20,774					20,774		20,774																					
DISCLOSURE OF OTHER GRANTS REPORTED ON LINE 402 Itemization of Items Reported on Line 402																																													
SAPT Prevention - assistant Bridge Subsidy Program																18,000			159,541		18,000	159,541		18,000																					
Total Other Grants	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18,000	0	0	159,541	0	177,541	0	177,541																						
DISCLOSURE OF OTHER BEHAVIORAL HEALTH SOURCES - NON ADHS REPORTED ON LINE 406 Itemization of Items Reported on Line 406																																													
Sanctions Imposed by Cenpatco to provider	10,955			9,398		5,898							0			0						26,250	0	26,250																					
Total Other Behavioral Health Sources - Non ADHS	10,955	0	0	9,398	0	5,898	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	26,250	0	26,250																					
UNRELATED BUSINESS REVENUE REPORTED ON LINE 407 Itemization of Items Reported on Line 407 Payments for copies of records																																													
Total Unrelated Business Revenue	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0																					
DISCLOSURE OF SUPPORTED HOUSING ON LINE 504g (Choose a category)																																													
Rent Subsidy Property Improvements										40,098	314,500		23,049									354,598	0	354,598																					
																						23,049	0	23,049																					
																						0	0	0																					
																						0	0	0																					
																						0	0	0																					
Total Supported Housing	0	0	0	0	0	0	0	0	0	40,098	314,500	23,049	0	0	0	0	0	0	0	0	0	377,647	0	377,647																					
DISCLOSURE OF ALL OTHER BEHAVIORAL HEALTH SERVICES ON LINE 511 Itemization of Items Reported on Line 511 Block Provider Incentive Bonus Expense Linkages - Job Development Services Passport Fees CMHS Coaching & Training Caring Voices cell phone program PASRR Screening Services																																													
	12,000	1,008	880	6,575	651	18,202	336	0	0	0	0	0	0	385	0	1,158	0	0	0	0	0	41,195	102,677	102,677																					
																						0		0																					
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	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF NON ADHS AND/OR UNRELATED ADMINISTRATIVE EXPENSES ON LINE 651																							
Itemization of Items Reported on Line 651																					0		0
																					0		0
SAPT Prevention - assistant																18,000					18,000		18,000
Bridge Subsidy Program																			10,683		10,683		10,683
Total Unrelated Administrative Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18,000	0	0	10,683	0	28,683	0	28,683

0

DISCLOSURE OF UNRELATED BUSINESS EXPENSES LINE 701																							
Itemization of Items Reported on Line 701																					0	212,930	212,930
Community Reinvestment																					0		0
Total Unrelated Business Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	212,930	212,930

(0)

Adjustments:
(Disclose and describe any adjustments to previously submitted financial statements including those that affect the current financial statements.)

Notes:
SAPT Children's Expenses SF2013 YTD \$ 82,410.40
MAT Expenses SF2013 YTD \$ 8,550.18

CENPATICO 3
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

June 30, 2013

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF NTXIX/XXI OTHER AND OTHER FEDERAL ADHS REVENUE Itemization of Items Reported In Other Column																					0		0
																					0		0
																					0		0
Total - NTXIX/XXI OTHER AND OTHER FEDERAL Column												0						0			0		0
DISCLOSURE OF OTHER GRANTS REPORTED ON LINE 402 Itemization of Items Reported on Line 402																					0		0
SAPT Prevention - assistant Bridge Subsidy Program																18,000			12,087		18,000		18,000
																					12,087		12,087
Total Other Grants	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18,000	0	0	12,087	0	30,087	0	30,087
DISCLOSURE OF OTHER BEHAVIORAL HEALTH SOURCES - NON ADHS REPORTED ON LINE 406 Itemization of Items Reported on Line 406																							
Sanctions Imposed by Cenpatico to provider	29,289			9,031		8,431							0								46,750		46,750
Total Other Behavioral Health Sources - Non ADHS	29,289	0	0	9,031	0	8,431	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	46,750
UNRELATED BUSINESS REVENUE REPORTED ON LINE 407 Itemization of Items Reported on Line 407																					0		0
																					0		0
Total Unrelated Business Revenue	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DISCLOSURE OF SUPPORTED HOUSING ON LINE 504g (Choose a category)																							
Rent Subaidy										59,421	296,020										355,441	0	355,441
Property Improvements												29,525									29,525	0	29,525
																					0	0	0
																					0	0	0
																					0	0	0
																					0	0	0
Total Supported Housing	0	0	0	0	0	0	0	0	0	59,421	296,020	29,525	0	0	0	0	0	0	0	0	384,966	0	384,966
DISCLOSURE OF ALL OTHER BEHAVIORAL HEALTH SERVICES ON LINE 511 Itemization of Items Reported on Line 511																					0	98,380	98,380
Sick Pay Incentive Bonus Expense				28,500																	28,500		28,500
Linkages - Job Development Services																					0		0
Passport Fees	15,089	1,322	741	7,351	1,127	20,193	372	0	0	0	0	0	0	312	0	511	0	0	0	0	47,018		47,018
																					0		0
																					0		0
																					0		0
CMHS Coaching & Training																					0		0
																					0		0
Caring Voices cell phone program																					0		0
PASRR Screening Services																			300		300		300
Total All Other Behavioral Health Services	15,089	1,322	741	35,851	1,127	20,193	372	0	0	0	0	0	0	312	0	511	0	0	300	0	75,818	98,380	174,198
DISCLOSURE OF SERVICES EXPENSES FROM NON ADHS SOURCES ON LINE 520 Itemization of Items Reported on Line 520																					0		0
Bridge Subsidy Program																				12,087	12,087		12,087
Total Service Expenses Non-ADHS Sources	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	12,087	0	12,087	0	12,087
DISCLOSURE OF ALL OTHER OPERATING ON LINE 607 Itemization of Items Reported on Line 607																							
Moving & Storage	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Meeting Expense	2,386	1,234	81	3,216	92	2,226	51	1	0	0	0	0	0	114	8	230					9,640		9,640
Seminars	6,287	3,252	214	8,472	242	5,865	135	3	0	0	0	0	0	299	20	607					25,395		25,395
Postage	161	83	5	217	6	150	3	0	0	0	0	0	0	8	1	16					650		650
Messenger	337	174	11	454	13	314	7	0	0	0	0	0	0	16	1	33					1,360		1,360
Bank Charges	(926)	(479)	(31)	(1,248)	(36)	(864)	(20)	(0)	0	0	0	0	0	(44)	(3)	(89)					(3,740)		(3,740)
EOPI/EOB Processing Fees	361	187	12	486	14	336	8	0	0	0	0	0	0	17	1	35					1,457		1,457
Penalties	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					0		0
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					0		0
Dues/subscripits/publications	1,297	671	44	1,749	50	1,210	28	1	0	0	0	0	0	62	4	125					5,241		5,241
Printing	1,304	674	44	1,757	50	1,216	28	1	0	0	0	0	0	62	4	126					5,266		5,266
MDC Printing/Postage Allocation	1,034	535	35	1,394	40	965	22	1	45	0	0	0	0	865	3	100					4,178		4,178
Office Supplies	3,264	1,689	111	4,399	126	3,045	70	2	0	0	0	0	0	155	10	315					13,187		13,187
Business Gifts	34	17	1	45	1	31	1	0	0	0	0	0	0	2	0	3					136		136
Member Service Fees	1,267	656	43	1,708	49	1,182	27	1	0	0	0	0	0	60	4	122					5,120		5,120
Translation Services	88	45	3	118	3	82	2	0	0	0	0	0	0	8	0	18					355		355
CPT Books/Med Dict/Phys Cred	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					0		0
Outreach Expense	506	262	17	681	19	472	11	0	0	0	0	0	0	24	2	49					2,043		2,043
Marketing give aways	1,210	626	41	1,631	47	1,129	26	1	0	0	0	0	0	58	4	117					4,888		4,888
Sponsorships	1,878	971	64	2,530	72	1,752	40	0	0	0	0	0	0	89	6	181					7,584		7,584
Equipment Maintenance	2,045	1,058	70	2,756	79	1,906	44	1	0	0	0	0	0	97	7	197					8,260		8,260
Equipment Lease	777	402	26	1,048	30	725	17	0	0	0	0	0	0	37	2	75					3,140		3,140
Misc Equipment Expense	80	41	3	108	3	75	2	0	0	0	0	0	0	4	0	8					324		324
Insurance Expense	8,611	4,454	293	11,605	332	8,034	184	4	0	0	0	0	0	410	28	831					34,787		34,787
Other Operating Expense	236	122	8	319	9	220	5	0	0	0	0	0	0	11	1	23					955		955
Information System Expense	3,282	1,698	112	4,423	126	3,062	70	2	0	0	0	0	0	156	11	317					13,258		13,258
Corporate Allocations	150,962	78,087	5,136	203,446	5,813	140,833	3,232	76	0	0	0	0	0	7,182	485	14,573					609,824		609,824
Recouped Sanctions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0					0		0
Total All Other Operating	186,482	96,459	6,344	251,314	7,180	173,969	3,993	93	0	0	0	0	0	8,871	599	18,002	0	0	0	0	753,307	0	753,307

CENPATICO 3
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

June 30, 2013

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF NON ADHS AND/OR UNRELATED ADMINISTRATIVE EXPENSES ON LINE 651																							
Itemization of Items Reported on Line 651																							
																						0	0
																						0	0
SAPT Prevention - assistant																18,000					18,000		18,000
Bridge Subsidy Program																			805		805		805
Total Unrelated Administrative Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	18,000	0	0	805	0	18,805	0	18,805

(0)

DISCLOSURE OF UNRELATED BUSINESS EXPENSES LINE 701																							
Itemization of Items Reported on Line 701																							
Community Reinvestment																						0	204,945
																						0	204,945
Total Unrelated Business Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	204,945	204,945

0

Adjustments:
(Disclose and describe any adjustments to previously submitted financial statements including those that affect the current financial statements.)

Notes:
SAPT Children's Expenses SF2013 YTD \$ 66,054.40
MAT Expenses SF2013 YTD \$ -

CENPATIO 4
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

June 30, 2013

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXIXI CHILD	TXIXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	MGMT/GEN & PROGRAM ADMIN	TOTAL	
DISCLOSURE OF NTXIX/XXI OTHER AND OTHER FEDERAL ADHS REVENUE Itemization of Items Reported In Other Column CMHS Coaching & Training SPE Grant																	19,274 12,859 0				19,274 12,859 0		19,274 12,859 0	
Total - NTXIX/XXI OTHER AND OTHER FEDERAL Column												0					32,133				32,133		32,133	
DISCLOSURE OF OTHER GRANTS REPORTED ON LINE 402 Itemization of Items Reported on Line 402 Pinal/Gila County COE SAPT Prevention - assistant Bridge Subsidy Program																	24,000		41,405		41,405		41,405	
Total Other Grants	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24,000	0	41,405	188,550	0	253,955	0	253,955
DISCLOSURE OF OTHER BEHAVIORAL HEALTH SOURCES - NON ADHS REPORTED ON LINE 406 Itemization of Items Reported on Line 406 Bridgeway Behavioral Health Services Sanctions Imposed by Cenpatco to provider	16,679			17,786		10,886							0			0					45,350	295,492	295,492	
Total Other Behavioral Health Sources - Non ADHS	16,679	0	0	17,786	0	10,886	0	0	0	0	0	0	0	0	0	0	0	0	0	0	45,350	295,492	340,842	
UNRELATED BUSINESS REVENUE REPORTED ON LINE 407 Itemization of Items Reported on Line 407 Payments for copies of records																					0	13	13	
Total Unrelated Business Revenue	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	13	13	
DISCLOSURE OF SUPPORTED HOUSING ON LINE 504g (Choose a category)																								
Rent Subsidy										89,703	222,000										311,703	0	311,703	
Property Improvements												40,540									40,540		40,540	
																					0		0	
																					0		0	
																					0		0	
Total Supported Housing	0	0	0	0	0	0	0	0	0	89,703	222,000	40,540	0	0	0	0	0	0	0	0	352,243	0	352,243	
2																								
DISCLOSURE OF ALL OTHER BEHAVIORAL HEALTH SERVICES ON LINE 511 Itemization of Items Reported on Line 511 Block Provider Incentive Bonus Expense Linkages - Job Development Services Passport Fees SPE Grant CMHS Coaching & Training Caring Voices cell phone program PASRR Screening Services	23,882	3,318	1,449	9,026	1,906	28,711	659	0	0	0	0	0	0	554	0	1,107	0	0	0	0	70,612	152,267		
																	12,091				12,091			
																	19,274				19,274			
																					0		0	
																					0		0	
																			1,200		1,200		1,200	
Total All Other Behavioral Health Services	23,882	3,318	1,449	47,026	1,906	28,711	659	0	0	0	0	0	0	554	0	1,107	31,366	0	1,200	0	141,178	152,267	293,445	
DISCLOSURE OF SERVICES EXPENSES FROM NON ADHS SOURCES ON LINE 520 Itemization of Items Reported on Line 520 Pinal/Gila County COE Bridge Subsidy Program																		36,643			36,643		36,643	
Total Service Expenses Non-ADHS Sources	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	36,643	188,550	0	225,193	0	225,193	
DISCLOSURE OF ALL OTHER OPERATING ON LINE 607 Itemization of Items Reported on Line 607	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0		0	
Moving & Storage	3,951	1,169	259	4,013	228	3,973	97	2	0	0	0	0	0	95	11	271	3				14,070		14,070	
Meeting Expense	10,408	3,079	682	10,572	601	10,465	256	5	0	0	0	0	0	249	28	713	7				37,065		37,065	
Seminars	266	79	17	271	15	268	7	0	0	0	0	0	0	6	1	18	0				948		948	
Postage	557	165	37	566	32	560	14	0	0	0	0	0	0	13	1	38	0				1,985		1,985	
Messenger	(1,533)	(453)	(100)	(1,557)	(89)	(1,541)	(38)	(11)	0	0	0	0	0	(37)	(4)	(105)	(1)				(5,458)		(5,458)	
Bank Charges	597	177	38	607	34	600	15	0	0	0	0	0	0	14	2	41	0				2,127		2,127	
EOP/EOB Processing Fees	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0		0	
Penalties	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0		0	
Other Taxes	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0		0	
Dues/subscriptions/publications	2,148	635	141	2,162	124	2,160	53	1	0	0	0	0	0	51	6	147	1				7,650		7,650	
Printing	2,158	638	141	2,192	125	2,170	53	1	0	0	0	0	0	52	6	148	1				7,686		7,686	
MDC Printing/Postage Allocation	1,712	506	112	1,739	99	1,722	42	1	0	0	0	0	0	41	5	117	1				6,097		6,097	
Office Supplies	5,405	1,599	354	5,490	312	5,434	133	3	0	0	0	0	0	129	15	370	4				19,247		19,247	
Business Gifts	56	4	3	56	3	56	1	0	0	0	0	0	0	1	0	4	0				198		198	
Member Service Fees	2,098	621	137	2,131	121	2,110	52	1	0	0	0	0	0	50	6	144	1				7,472		7,472	
Translation Services	145	43	10	148	8	146	4	0	0	0	0	0	0	3	0	10	0				518		518	
CPT Books/Med Dict/Phys Cred	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0		0	
Outreach Expense	837	248	55	850	48	842	21	0	0	0	0	0	0	20	2	57	1				2,981		2,981	
Marketing cive aways	2,003	593	131	2,035	116	2,014	49	1	0	0	0	0	0	48	5	137	1				7,134		7,134	
Sponsorships	3,108	920	184	3,115	76	3,125	76	2	0	0	0	0	0	74	8	213	2				11,070		11,070	
Equipment Maintenance	3,385	1,001	222	3,439	196	3,404	83	2	0	0	0	0	0	81	9	232	2				12,056		12,056	
Equipment Lease	1,287	381	84	1,307	74	1,294	32	1	0	0	0	0	0	31	3	88	1				4,583		4,583	
Misc Equipment Expense	133	39	9	135	8	134	3	0	0	0	0	0	0	3	0	473	0				473		473	
Insurance Expense	14,257	4,218	934	14,482	350	14,335	350	7	0	0	0	0	0	341	38	977	10				50,772		50,772	
Other Operating Expense	391	116	26	397	23	393	10	0	0	0	0	0	0	9	1	27	0				1,394		1,394	
Information System Expense	5,434	1,607	356	5,520	314	5,464	134	3	0	0	0	0	0	130	15	372	4				19,351		19,351	
Corporate Allocations	249,936	73,935	16,366	253,872	14,434	251,298	6,144	121	0	0	0	0	0	5,978	672	17,130	172				890,059		890,059	
Recouped Sanctions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0				0		0	
Total All Other Operating	308,742	91,331	20,216	313,605	17,831	310,425	7,589	150	0	0	0	0	0	7,384	831	21,180	212	0	0	0	1,099,477	0	1,099,477	

CENPATICO 4
STATEMENT OF ACTIVITIES
CONTRACT PERIOD TO DATE AS OF:
Schedule A Disclosure

June 30, 2013

	TXIX CHILD	TXIX CMDP	TXIX DD CHILD	TXIX SMI	TXIX DD ADULT	TXIX GMH/SA	TXXI CHILD	TXXI ADULT	NTXIX/XXI CRISIS	NTXIX/XXI SMI	SUPPORTED HOUSING for TXIX SMI	SB1616 HOUSING	NTXIX/XXI OTHER	CMHS SED BLOCK GRANT	CMHS SMI BLOCK GRANT	SAPT BLOCK GRANT	OTHER FEDERAL	COUNTY	PASRR/ADOH	PATH	SUB TOTAL	PROGRAM ADMIN & MGMT/GEN	TOTAL
DISCLOSURE OF NON ADHS AND/OR UNRELATED ADMINISTRATIVE EXPENSES ON LINE 651																							
Itemization of Items Reported on Line 651																					0	190,996	190,996
Bridgeway Behavioral Health Administrative Expenses																					2,414		2,414
Pinal/Gila County COE																					24,000		24,000
SAPT Prevention - assistant																							
Bridge Subsidy Program																					12,421		12,421
Total Unrelated Administrative Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	24,000	0	2,414	12,421	0	38,835	190,996	229,830
DISCLOSURE OF UNRELATED BUSINESS EXPENSES LINE 701																							
Itemization of Items Reported on Line 701																					0	299,625	299,625
Community Reinvestment																					0		0
Total Unrelated Business Expenses	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	299,625	299,625
Adjustments:																							
(Disclose and describe any adjustments to previously submitted financial statements including those that affect the current financial statements.)																							

Notes:	
SAPT Children's Expenses SF2013 YTD	\$ 179,527.20
MAT Expenses SF2013 YTD	\$ 4,198.12

CENPATICO 2

Comparison Between Actual NTXIX SMI Expenses to Plan
CONTRACT PERIOD TO DATE AS OF:

June 30, 2013

Enrollment (For ADHS Input Only)

REVENUE

Revenue Under ADHS Contract

Service Revenue (92.5% of ADHS Revenue)

EXPENSES

Service Expenses:

502 Rehabilitation Services

a	Living Skills Training	28,874	2.7%	73,972	7.0%	45,098	156.2%
c	Health Promotion	94,088	8.9%	52,837	5.0%	(41,251)	-43.8%
d	Supported Employment Services	77,132	7.3%	84,539	8.0%	7,408	9.6%
e	Total Rehabilitation Services	200,094	18.9%	211,349	20.0%	11,255	5.6%

503 Medical Services

a	Medication Services	3,410					
b	Medical Management*	66,793					
c	Laboratory, Radiology & Medical Imaging	30					
501b	Assessment Evaluation and Screening	8,242					
510 d	Total Pharmacy Expense	138,399					

Total Medical Services

504 Support Services

a	Case Management	170,239	16.1%	84,539	8.0%	(85,700)	-50.3%
b	Personal Care Services	9,554	0.9%	63,405	6.0%	53,851	563.7%
c	Family Support	31,152	2.9%	52,837	5.0%	21,685	69.6%
d	Peer Support	307,541	29.1%	105,674	10.0%	(201,867)	-65.6%
f	Unskilled Respite Care	28,605	2.7%	63,405	6.0%	34,800	121.7%
g	Supported Housing	40,098	3.8%	42,270	4.0%	2,172	5.4%
i	Transportation	52,587	5.0%	52,837	5.0%	250	0.5%
j	Total Support Services	639,776	60.5%	464,967	44.0%	(174,809)	-27.3%

525 Total Service Expenses

1,056,743 100.0% 1,056,743 100.0% (0) 0.0%

* Includes Counseling

On a quarterly basis, provide justification regarding variances from approved NTXIX/XXI SMI spending plan. Include lessons learned and indicate where efforts will be focused in order to align service expenses with targeted percentages.

Variance Justification:

A significant portion of the variance from plan year-to-date is due to allocation of expenses based on actual claims data, of which the lag in claims is also a factor.

Pharmacy expenses have fluctuated, are trending upward, but are less than originally projected due to the implementation of prior authorization processes for specific medications, medications now available as generic, and NTXIX SMI members with TPL who have continued to get medications through their TPL insurer.

Support and rehabilitation service utilization by NTXIX adults with SMI has been lower than anticipated. Thus, in November, we asked providers to develop service plans for these members, in order to increase access to support and rehabilitation services. We've seen some increase in referrals to peer and family-run organizations as a result.

We have seen heavier case management utilization, due to more activity in the first two quarters being focused on the assessment for case management services and the development of service plans.

Due to low utilization of services, we encouraged providers to deliver more case management support to help members access support and rehabilitation services. We have seen increasing utilization and claims for other support and rehabilitation services in the second and third quarters.

We held joint meetings in the third quarter with the intake and care coordination agencies and peer-run organizations to outreach adults with SMI, inform them about the services available to them, and facilitate coordination of care.

Successful efforts to clean up demographic errors, resulted in more claims allocated to the Non-Title XIX/XXI SMI fund type as the year progressed.

CENPATICO 3

Comparison Between Actual NTXIX SMI Expenses to Plan
CONTRACT PERIOD TO DATE AS OF:

June 30, 2013

		As Reported NTXIX/XXI SMI	% of ADHS Service Revenue	Plan	% of ADHS Service Revenue	Variance (Overspent) Underspent	% (Over) Under Plan
Enrollment (For ADHS Input Only)							
REVENUE							
Revenue Under ADHS Contract		1,366,166		1,366,166			
Service Revenue (92.5% of ADHS Revenue)		1,263,705	100.0%	1,263,704	100.0%		
EXPENSES							
Service Expenses:							
502	Rehabilitation Services						
a	Living Skills Training	30,670	2.4%	88,459	7.0%	57,789	188.4%
c	Health Promotion	83,213	6.6%	50,548	4.0%	(32,665)	-39.3%
d	Supported Employment Services	125,121	9.9%	101,096	8.0%	(24,024)	-19.2%
e	Total Rehabilitation Services	239,004	18.9%	240,104	19.0%	1,100	0.5%
503	Medical Services						
a	Medication Services	83					
b	Medical Management*	116,731					
c	Laboratory, Radiology & Medical Imaging	-					
501b	Assessment Evaluation and Screening	2,267					
510 d	Total Medical Services	139,541					
504	Support Services						
a	Case Management	194,038	15.4%	101,096	8.0%	(92,942)	-47.9%
b	Personal Care Services	11,294	0.9%	75,822	6.0%	64,528	571.4%
c	Family Support	49,308	3.9%	63,185	5.0%	13,877	28.1%
d	Peer Support	304,031	24.1%	126,370	10.0%	(177,660)	-58.4%
f	Unskilled Respite Care	30,077	2.4%	63,185	5.0%	33,109	110.1%
g	Supported Housing	59,421	4.7%	50,548	4.0%	(8,873)	-14.9%
i	Transportation	117,911	9.3%	50,548	4.0%	(67,363)	-57.1%
j	Total Support Services	766,079	60.6%	530,755	42.0%	(235,324)	-30.7%
525	Total Service Expenses	1,263,705	100.0%	1,263,704	100.0%	(1)	0.0%

* Includes Counseling

On a quarterly basis, provide justification regarding variances from approved NTXIX/XXI SMI spending plan. Include lessons learned and indicate where efforts will be focused in order to align service expenses with targeted percentages.

Variance Justification:

A significant portion of the variance from plan year-to-date is due to allocation of expenses based on actual claims data, of which the lag in claims is also a factor.

Pharmacy expenses have fluctuated, are trending upward, but are less than originally projected due to the implementation of prior authorization processes for specific medications, medications now available as generic, and NTXIX SMI members with TPL who have continued to get medications through their TPL insurer.

Support and rehabilitation service utilization by NTXIX adults with SMI has been lower than anticipated. Thus, in November, we asked providers to develop service plans for these members, in order to increase access to support and rehabilitation services. We've seen some increase in referrals to peer and family-run organizations as a result.

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Due to low utilization of services, we encouraged providers to deliver more case management support to help members access support and rehabilitation services. We have seen increasing utilization and claims for other support and rehabilitation services in the second and third quarters.

We held joint meetings in the third quarter with the intake and care coordination agencies and peer-run organizations to outreach adults with SMI, inform them about the services available to them, and facilitate coordination of care.

Successful efforts to clean up demographic errors, resulted in more claims allocated to the Non-Title XIX/XXI SMI fund type as the year progressed.

CENPATICO 4

Comparison Between Actual NTXIX SMI Expenses to Plan
CONTRACT PERIOD TO DATE AS OF:

June 30, 2013

		As Reported NTXIX/XXI SMI	% of ADHS Service Revenue	Plan	% of ADHS Service Revenue	Variance (Overspent) Underspent	% (Over) Under Plan
Enrollment (For ADHS Input Only)							
REVENUE							
Revenue Under ADHS Contract		1,748,416		1,748,416			
Service Revenue (92.5% of ADHS Revenue)		1,617,286	100.0%	1,617,285	100.0%		
EXPENSES							
Service Expenses:							
502	Rehabilitation Services						
a	Living Skills Training	43,797	2.7%	97,037	6.0%	53,240	121.6%
c	Health Promotion	85,541	5.3%	64,691	4.0%	(20,850)	-24.4%
d	Supported Employment Services	53,106	3.3%	129,383	8.0%	76,277	143.6%
e	Total Rehabilitation Services	182,444	11.3%	291,111	18.0%	108,668	59.6%
503	Medical Services						
a	Medication Services	8,519					
b	Medical Management*	159,337					
c	Laboratory, Radiology & Medical Imaging	35					
501b	Assessment Evaluation and Screening	8,306					
510 d	Total Pharmacy Expense	271,673					
	Total Medical Services	447,869	27.7%	679,260	42.0%	231,390	51.7%
504	Support Services						
a	Case Management	319,219	19.7%	129,383	8.0%	(189,836)	-59.5%
b	Personal Care Services	29,390	1.8%	80,864	5.0%	51,475	175.1%
c	Family Support	31,606	2.0%	80,864	5.0%	49,258	155.8%
d	Peer Support	394,965	24.4%	161,728	10.0%	(233,237)	-59.1%
f	Unskilled Respite Care	44,469	2.7%	64,691	4.0%	20,223	45.5%
g	Supported Housing	89,703	5.5%	64,691	4.0%	(25,012)	-27.9%
i	Transportation	77,621	4.8%	64,691	4.0%	(12,929)	-16.7%
j	Total Support Services	986,972	61.0%	646,914	40.0%	(340,058)	-34.5%
525	Total Service Expenses	1,617,285	100.0%	1,617,285	100.0%	0	0.0%

* Includes Counseling

On a quarterly basis, provide justification regarding variances from approved NTXIX/XXI SMI spending plan. Include lessons learned and indicate where efforts will be focused in order to align service expenses with targeted percentages.

Variance Justification:

A significant portion of the variance from plan year-to-date is due to allocation of expenses based on actual claims data, of which the lag in claims is also a factor.

Pharmacy expenses have fluctuated, are trending upward, but are less than originally projected due to the implementation of prior authorization processes for specific medications, medications now available as generic, and NTXIX SMI members with TPL who have continued to get medications through their TPL insurer.

Support and rehabilitation service utilization by NTXIX adults with SMI has been lower than anticipated. Thus, in November, we asked providers to develop service plans for these members, in order to increase access to support and rehabilitation services. We've seen some increase in referrals to peer and family-run organizations as a result.

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We held joint meetings in the third quarter with the intake and care coordination agencies and peer-run organizations to outreach adults with SMI, inform them about the services available to them, and facilitate coordination of care.

Successful efforts to clean up demographic errors, resulted in more claims allocated to the Non-Title XIX/XXI SMI fund type as the year progressed.

CENPATICO**STATEMENT OF CASH FLOWS****CONTRACT PERIOD TO DATE AS OF:** June 30, 2013**CASH FLOWS FROM OPERATING ACTIVITIES:**

Changes in Net Assets 5,398,066

Adjustments to Reconcile Excess of Revenue Over/(Under) Expenses to

Net Cash Provided (Used) by Operating Activities:

Depreciation and Amortization 109,461

Amortization of Bond Issuance Costs

Changes in Operating Assets and Liabilities

(Increases)/Decreases in Assets:

Current Investments -

Receivables (2,939,373)

Inventory & Prepaid Expenses (126,112)

Interest Receivable 389

Deposits 6,622

Other (638,819)

Increases/(Decreases) in Liabilities:

IBNR (2,792,049)

RBUC 159,458

Accounts Payable to ADHS 3,911,714

Loss Contingencies

Accounts Payable to Providers 1,999,467

Interest Payable

Trade Accounts Payable

Accrued Salaries & Benefits 12,297

Other Liabilities 2,489,380

NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES

7,590,502**CASH FLOWS FROM INVESTING ACTIVITIES**

Disposal of Property & Equipment 9,621

Purchases of Property & Equipment

Proceeds from Sales of Investments

Purchase of Investments

NET CASH PROVIDED (USED) BY INVESTING ACTIVITIES

9,621**CASH FLOWS FROM FINANCING ACTIVITIES:**

Acquisition of Debt (Describe on Schedule A)

Payment of Lease Obligations

Dividend to Parent (4,000,000)

Payment of Other Debts (Describe on Schedule A)

NET CASH PROVIDED (USED) BY FINANCING ACTIVITIES

(4,000,000)**NET INCREASE/(DECREASE) IN CASH**

3,600,123

BEGINNING CASH

29,762,930**ENDING CASH BALANCE ***

33,363,053***NOTE: ENDING CASH BALANCE MUST AGREE WITH TOTAL CASH BALANCE ON BALANCE SHEET**

CENPATICO
STATEMENT OF CASH FLOWS
CONTRACT PERIOD TO DATE AS OF: June 30, 2013
Schedule A Disclosure

Describe:

1. Sources and amounts of cash received for other grants.

0

2. Underlying transactions for acquisition of debt.
(Debtor, amount, purpose of loan, term, interest rate of debt acquired.)

3. Underlying transactions for retirement of debt.
(Debtor, amount paid off.)

Cash Flows From Financing Activities - Payment of Other Debt

0

Cash Flows From Investing Activities - Payment of Other Debt

0

4. Supplemental data or non-cash investing and financing activities, gifts, etc.